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2000 DEC -4 ARIZONA CORPORATION COMMISSION

AZ CORP COMMISSION
DOCUMENT CONTROL

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November 17, 2000

DOCKETED

DEC 04 2000

The Honorable Janet A. Napolitano
Attorney General
1275 West Washington
Phoenix, Arizona 85007

Re: A.A.C. R14-4-101, R14-4-102, R14-4-127, R14-4-133,
R14-4-134, R14-4-141, and R14-4-144

Dear Ms. Napolitano:

The above-referenced rule was made on August 5, 1999, by the Arizona Corporation Commission ("Commission"), subject to approval by the attorney general. A rule review package was submitted to the office of the attorney general with a letter dated August 10, 1999, a copy of which is attached.

Subsequent to the August 10 submission, following consultation with Mark Wilson, the Commission agreed to make changes to the text of the rules, none of which necessitate publication under A.R.S. § 41-1025. The Commissioners have approved the changes. With this letter, we are submitting:

- (1) Original and four copies of the Notice of Final Rulemaking, including the preamble and text of the amended rule.
- (2) Original and four copies of the Concise Explanatory Statement.
- (3) Original and four copies of the Commission's Agency Certificate.
- (4) Original and two copies of the Commission's Agency Receipt.
- (5) Original form for Attorney General approval of final rule.

These documents reflect A.A.C. R14-4-101, R14-4-102, R14-4-127, R14-4-133, R14-4-134, R14-4-141, and R14-4-144 as made by the Commission, including the changes requested by the office of the attorney general. The attached documents should replace their counterparts included in the August 10, 1999, package.

1200 WEST WASHINGTON, PHOENIX, ARIZONA 85007 / 400 WEST CONGRESS STREET, TUCSON, ARIZONA 85701

www.cc.state.az.us

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Also attached is a disk containing the Notice of Final Rulemaking in rich text format for the secretary of state. My understanding is that you will forward the final rulemaking packet (including the disk) to the secretary of state.

Please let me know if I can be of any assistance to you in your review process. My direct line is 542-0643. You should also feel free to contact Cheryl Farson, general counsel for the Division, at 542-0193. Thank you for your cooperation.

Very truly yours,



Mark Sendrow
Director of Securities

cc: Chairman Carl J. Kunasek (w/o encl.)
Commissioner Jim Irvin (w/o encl.)
Commissioner William A. Mundell (w/o encl.)
Brian C. McNeil, Executive Secretary (w/o encl.)